

JUN 19 2007

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DENVER, COFACSIMILE TRANSMITTAL SHEETDeliver to: Wong, LeslieFirm Name: U.S. PATENT AND TRADEMARK OFFICE Art Unit 2164Fax Number: 1-571-273-8300 Telephone No.: 1-571-272-4120From: Thomas S. Ferrill Reg. No.: 42,532Date: June 19, 2007 Time: 2:00pmBSTZ Matter: 4667.P005 Number of pages including cover sheet: 10In Re Patent Application of: Michael R. Lynch et al.Application No.: 09/872,938Filed: May 31 2001Title: METHOD AND APPARATUS TO LINK TO A RELATED DOCUMENT

Enclosed are the following documents:

1 Amendment/Response (29 pgs)	1 Declaration & PofA ( pgs)	1 Reply Brief ( pgs)
1 Appeal Brief ( pgs) (in triplicate)	1 Drawings: # of sheets w/ figs.	1 Small Entity Statement
1 Utility Application ( pgs)	1 Month Petition re Ext. of Time	1 Resp. to Notice of Missing Parts ( pgs)
1.53 1(b) Cont. Application ( pgs)	X IDS & PTO SBO8A (3 pgs)	1 Transmittal Letter ( pgs) (in duplicate)
1.53 1(b) Divisional Application ( pgs)	1 Issue Fee Transmittal ( pgs)	X Fee Transmittal (3 pgs) (in duplicate)
1.53 1(b) CIP Application ( pgs)	1 Notice of Appeal (1 pg)	X Deposit Account Authorization
1.53 1(d) CPA Transmittal ( pgs)	1 Petition re ( pgs)	1 Other:
1 PCT Application ( pgs)	1 Power of Attorney ( pgs)	1 Other:
1 Provisional Application ( pgs)	1 Declaration by Inventor(s) (4 pgs)	1 Other:
1 Assignment & Cover Sheet ( pgs)	1 Supplemental Declaration ( pgs)	1 Other:
X Certificate of Transmission by Fax	1 Preliminary Amendment ( pgs)	1 Other:

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## FEE TRANSMITTAL FOR FY 2007

(Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 180.00

## Complete if Known:

Application No. 09/872,938  
 Filing Date May 31, 2001  
 First Named Inventor Michael R. Lynch  
 Examiner Name Wong, Leslie  
 Art Unit 2164  
 Attorney Docket No. 4667.P005

Applicant claims small entity status. See 37 CFR 1.27.

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify)

 Deposit Account

Deposit Account Number : 02-2686

Deposit Account Name:

 The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. Charge fee(s) indicated below except for the filing fee Credit any overpayments. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form.  
 Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Large Entity		Small Entity		Fee Description	Fees Paid (\$)
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1011	300	2011	150	Utility application filing fee	1,000/500
1111	500	2111	250	Utility search fee	
1311	200	2311	100	Utility examination fee	
1012	200	2012	100	Design application filing fee	430/215
1112	100	2112	50	Design search fee	
1312	130	2312	65	Design examination fee	
1013	200	2013	100	Plant filing fee	660/330
1113	300	2113	150	Plant search fee	
1313	160	2313	80	Plant examination fee	
1004	300	2004	150	Reissue filing fee	1,400/700
1114	500	2114	250	Reissue search fee	
1314	800	2314	300	Reissue examination fee	
1005	200	2005	100	Provisional application filing fee	
SUBTOTAL (1) \$0.00					

Based on Form PTO/SB/17 (02-07) as modified by BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP on 2/20/07

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Page 2 of 3

## **2. EXCESS CLAIM FEES**

**Fee Description**

<u>Large Entity</u>	<u>Small Entity</u>			
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee Description</u>
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>	
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

Total Claims \_\_\_\_\_ - 20 or HP = \_\_\_\_\_ X \$50.00 = \_\_\_\_\_

**HP = highest number of total claims paid for, if greater than 20**

**Independent Claims**        - 3 or HP =        X \$200.00 =

**HP = highest number of independent claims paid for, if greater than 3**

**SUBTOTAL (2) \$0.00**

### **3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(e).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
- 100 =	/ 50 =	(round up to whole number)	X	<b>\$250.00</b>

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description:</u> Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings):
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

**SUBTOTAL (3) \$0.00**

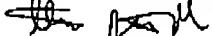
**FEE CALCULATION (continued)****4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fees Paid (\$)</u>
Fee	Fee		
Code	Code		
1051	2051	Surcharge - late filing fee or oath	
1052	2052	Surcharge - late provisional filing fee or cover sheet	
1053	1053	Non-English specification	
1812	1812	For filing a request for ex parte reexamination	
1813	1813	Request for inter partes reexamination	
1804	1804	Requesting publication of SIR prior to Examiner action	
1805	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	Extension for reply within first month	
1252	2252	Extension for reply within second month	
1253	2253	Extension for reply within third month	
1254	2254	Extension for reply within fourth month	
1255	2255	Extension for reply within fifth month	
1401	2401	Notice of Appeal	
1402	2402	Filing a brief in support of an appeal	
1403	2403	Request for oral hearing	
1451	1,510	Petition to Institute a public use proceeding	
1452	2452	Petition to revive - unavoidable	
1453	2453	Petition to revive - unintentional	
1501	2501	Utility issue fee (or reissue)	
1502	2502	Design issue fee	
1503	2503	Plant issue fee	
1462	1462	Petitions to the Commissioner (CFR 1.17(f) Group I)	
1463	1463	Petitions to the Commissioner (CFR 1.17(g) Group II)	
1464	1464	Petitions to the Commissioner (CFR 1.17(h) Group III)	
1807	1807	Processing fee under 37 CFR 1.17(q)	
1806	1806	Submission of Information Disclosure Stmt	\$180.00
8021	8021	Recording each patent assignment per property (times number of properties)	
1809	2809	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	2814	Statutory Disclaimer	
1810	2810	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	2801	Request for Continued Examination (RCE)	
1802	1802	Request for expedited examination of a design application	
1504	1504	Publication fee for early, voluntary, or normal pub.	
1505	1505	Publication fee for republication	
1803	1803	Request for voluntary publication or republication	
1808	1808	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,370	Acceptance of unintentionally delayed claim for priority	
Other fee (specify) _____			
Other fee (specify) _____			
SUBTOTAL (4)			<u>\$180.00</u>

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Thomas S. FerrillSignature:  Date: 6/19/07Reg. Number: 42,532 Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

JUN 19 2007

Attorney's Docket No. 4667.P005PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael R. Lynch et al.

Examiner: Wong, Leslie

Application No.: 09/872,938

Art Unit: 2164

Filed: May 31, 2001

For: METHOD AND APPARATUS TO LINK  
TO A RELATED DOCUMENT

Mail Stop Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

       37 C.F.R. §1.97(b).

X        37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

X        Authorization to charge Deposit Account No. 02-2666 \$180.00 for the fee under 37 C.F.R. § 1.17(p).

       37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1)        A statement pursuant to 37 C.F.R. §1.97(e); and

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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 6-19, 2007

  
\_\_\_\_\_  
Thomas S. Ferrill  
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JUN 19 2007

<p>Substitute for Form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE</b></p> <p><b>STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<p><b>Complete if Known</b></p>	
				Application Number	09/872,938
				Filing Date	May 31, 2001
				First Named Inventor:	Michael R. Lynch
				Art Unit	2164
				Examiner Name	Wong, Leslie
				Attorney Docket Number	4667.P005
Sheet	1	of	1		

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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PAGE 10/10 \* RCVAT 6/19/2007 5:03:01 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-3/0 \* DNI:2738300 \* CSID:4087208383 \* DURATION (mm:ss):03-20